

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**  
**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**

**BOX PCT**

**COMPLETION**  
**For PCT Cases Only**

In re PATENT APPLICATION of

Inventor(s): FRAY et al.

Appln. No.: 09 | 762,769  
Series Code ↑ Serial No. ↑

Attn: Application Division  
Atty. Dkt. P 276653 | PPD 50360/UST  
M# Client Ref

National Phase Field

Based on PCT GB99 | 02652  
↑ Country Code & Year

Title: EXPRESSION OF BACTERIAL SIGNAL MOLECULES IN PLANTS

Date: August 14, 2001

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**

Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declarations (4)** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (☐ page(s))
  - a. ☐ plus Annex of family members (☐ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☐ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

			Large/Small Entity		Fee Code
16. Total Effective Claims		minus 20 =	x \$18/\$9	+	966/967
17. Independent Claims		minus 3 =	x \$80/\$40	+	964/965
18. If <u>any proper</u> multiple dependent claim (ignore improper) is present,			\$270/\$135	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now			\$130/\$65	+130	154/254
20. <b>SUBTOTAL</b>				\$	
21. <b>Original due date:</b> April 14, 2001					
22. <b>Petition is hereby made</b> to extend the <b>original</b> due date to			(1 mo)	\$110/\$55 =	+1390
cover the date this response is filed for which the requisite fee			(2mos)	\$390/\$195 =	115/215
is attached			(3mos)	\$890/\$445 =	116/216
			(4mos)	\$1390/\$695 =	117/217
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .			\$130	+0	118/218
24. If "assignment" box 6 is X'd, add recording fee. . . . .			\$40	+0	156
25. <b>TOTAL FEE ENCLOSED =</b>				<b>\$1520</b>	581

(Our Deposit Account No. 03-3975)

(Our Order No. 070596	0276653
C#	M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1600 Tysons Boulevard

McLean, VA 22102

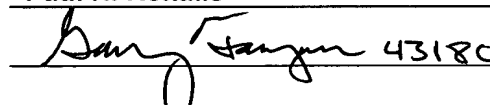
Tel: (703) 905-2000

PNK/GRT/jlc

By Atty: Paul N. Kokulis

f.e.v.

Sig:



Reg. No. 16773

Fax: (703) 905-2500

Tel: (703) 905-2108

**NOTE:** File in duplicate with PTO receipt (PAT-103A) and attachments

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION****UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)****BOX PCT****COMPLETION  
For PCT Cases Only**In re PATENT APPLICATION of

Inventor(s): FRAY et al.

Appln. No.: 09 | 762,769  
Series Code ↑ Serial No. ↑Attn: Application Division  
Atty. Dkt. P 276653 | PPD 50360/UST  
M# Client Ref

National Phase Field

Based on PCT GB99 | 02652  
↑ Country Code & YearTitle: **EXPRESSION OF BACTERIAL SIGNAL MOLECULES IN  
PLANTS**

Date: August 14, 2001

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declarations (4)** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (☐ page(s))
  - a. ☐ plus Annex of family members (☐ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☐ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ☐ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:12. ☐ Preliminary Amendment:13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 2515. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

			Large/Small Entity		Fee Code
16. Total Effective Claims		minus 20 =	x \$18/\$9	+	966/967
17. Independent Claims		minus 3 =	x \$80/\$40	+	964/965
18. If <u>any</u> proper multiple dependent claim (ignore improper) is present,			\$270/\$135	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now			\$130/\$65	+130	154/254
20. <b>SUBTOTAL</b>				<b>\$</b>	
21. <b>Original due date:</b> April 14, 2001					
22. <b>Petition is hereby made</b> to extend the <b>original</b> due date to			(1 mo)	\$110/\$55 =	+1390
cover the date this response is filed for which the requisite fee			(2mos)	\$390/\$195 =	115/215
is attached			(3mos)	\$890/\$445 =	116/216
			(4mos)	\$1390/\$695 =	117/217
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .			\$130	+0	118/218
24. If "assignment" box 6 is X'd, add recording fee. . . . .			\$40	+0	156
25. <b>TOTAL FEE ENCLOSED =</b>				<b>\$1520</b>	581

(Our Deposit Account No. 03-3975)

(Our Order No. 070596 | 0276653  
C# | M#)

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1600 Tysons Boulevard

McLean, VA 22102

Tel: (703) 905-2000

PNK/GRT/jlc

By Atty: Paul N. Kokulis

for

Sig: [Signature] 43180Reg. No. 16773

Fax: (703) 905-2500

Tel: (703) 905-2108

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

08/16/2001 UEDUVIJE 00000089 09762769

01 FC:154

130.00 0P

02 FC:118

1390.00 0P